Title name: Mechanisms of drug resistance in Glioblastoma Multiforme

Running Title: Therapeutic resistance of Temozolomide in Glioblastoma

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#### **Abstract:**

Glioblastoma multiforme (GBM) is a group of low-grade as well as high-grade brain tumors that originate from the glia; neuroglial cells and are the most common and crucial brain tumor, specially in adults. The main causes are deemed to be associated with: Age, race, pre-existence of low grade brain tumor, which over the time may turn into a higher/more progressive grade, and genetic pre-dispositions(Neurofibromatosis, Tuberous sclerosis, Von Hippel-Lindau disease, Li-Fraumeni syndrome, and Turcot syndrome). Current standard of care includes surgical resection, which is followed by radiation therapy and chemotherapy with agent temozolomide (TMZ). These malignant glioblastomic tumor cells have the ability of intrinsic or acquired resistance to surgical, radiation and chemotherapy. Despite the advancement in the therapeutic area, all tumors recur and have a median survival rate of around 12-14 months only. [21,23] Temozolomide (TMZ) plays an important role as a chemotherapeutic agent for the treatment of GBM, but the satisfaction rate is not up to the mark. This review article focuses on the role of resistance of Temozolomide in the GBM.

**Keyword:** DNA repair, glioblastoma, temozolomide, O6-methylguanine-DNA methyltransferase, apoptosis, autophagy, resistance, Anticancer Drug, Cancer Therapy, Cell Death, DNA Methylation

## **Introduction:**

Tumors of astrocytes are known as "Glioblastomas", because of their star shape. These tumors are well connected to the various blood vessels, therefore they grow very quickly and are highly malignant. Location of Glioblastomas is mainly in the cerebral hemispheres, but can also present anywhere in the brain or spinal cord. Glioblastomas (GBM) are highly malignant heterogenous type of cells that metastasize by ample blood supply, but along with the presence of dead cells at the center of the tumor. There are two main types of glioblastomas: "Primary" and "Secondary"

tumor. Primary tumors are prompt in the action and very common, while secondary usually have longer and slower growth history. <sup>[1]</sup> Among all types of Astrocytoma, grade IV is classified as glioblastoma, developed from gial cells. <sup>[2]</sup> Glioma accounts for approximately 80% of primary tumor, out of which 50% glioma are gliobastomas. They are common in adults of age range 45-65. <sup>[3]</sup> Gliomas are primarily found in men than women in adults, although 9 % of the children experience GBMs. Approximately 18000 new cases are diagnosed with GBM every year in the US only. In comparison, around 13000 deaths are reported every year in US alone. <sup>[4]</sup>

The median overall survival times of the patients are 8.1 months in 2000-03 to 9.7 months in 2005-08 after the implementation of temozolomide. <sup>[5]</sup>

Without any treatment and diagnosis, patient's overall survival is limited to 3 months. Age and KPS scale (karnofsky performance status (refer appendix:02) of the patient have an impact on the prognosis of the patients once diagnosed. <sup>[6]</sup> The WHO has classified a grading system for the tumor of astrocytes: Grade I gliomas are less aggressive, whereas grade IV is most malignant and aggressive, which is GBM.

# **Staging of Gliobastoma**

The WHO has developed a grading system of Gliomas, based on hypercellularity, presence of necrosis, mitosis rate and vascular proliferation.

Grade	Description	EGFR
		response to
		Glioma(%)
Grade I- (juvenile pilocytic	Slow growing tumor, Non-cancerous,	
astrocytoma)	Benign,	15-20 %
Grade II (tumor	Absence of necrosis, Hypercellular,	
astrocytoma)	absence of vascular proliferation,	
Grade III (anaplastic	Rapid mitosis, high rate of	30-35 %
astrocytoma)	hypercellualrity, tumor recurrence	
Grade IV (glioblastoma)	High rate of hypercellualrity and	40-50 %
	mitosis, presence of necrosis and	
	vascular proliferation	

Table 1: Stages of GBM<sup>[5]</sup>

## **Characteristics of GBM**

Clinical symptoms experienced by patients include, slow progressive neurological deficit along with headache, seizures and increased intracranial pressure. Its etiology is not well understood, but few causes are noted: Genetic factors (Neurofibromatosis, Tuberous sclerosis, Von Hippel-Lindau disease, Li-Fraumeni syndrome, and Turcot syndrome), head injury, electromagnetic field exposure, etc. Genetic abnormalities of different chromosomes may lead to the development of tumor. Symptoms of GBM are related to the site of the tumor more than its pathological properties. <sup>[8]</sup> The main characteristic of GBM depends upon the abnormal level of EGFR (epidermal growth factor receptor). EGFR plays very significant role in the growth of cells. It is a type of protein which is found on the surface of cells and binds with the growth factor and helps in the signaling. <sup>[9]</sup> Genomic profiling of GBM categorizes it into four subtypes, based on the genetic alteration and different molecular profiles: Classical; mesenchymal, proneural and neural respectively. <sup>[10]</sup> Gene expression of EGFR, NF1 and PDGFRA/IDH1 describes classical; mesenchymal, proneural and neural respectively. <sup>[111]</sup>(Figure 1)

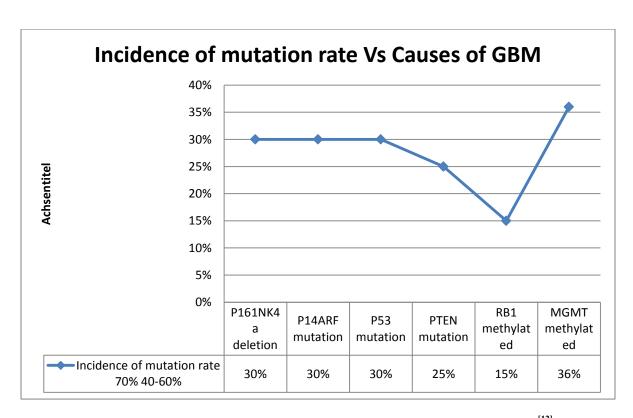


Figure 1: Statistical representation of incidence Vs common causes of GBM [12]

#### **Pathogenesis of Glioblastoma:**

Malignant GBM are standardized as primary and secondary. Primary GBM is reported to be around 60% in people with 50 years of age and above, whereas 40% of secondary GBM develops in younger patients. Primary or *de novo* type of glioblastoma are very aggressive and accounts for the majority of the cases. The GBM's overall progression rate ranges from 1- 10 years. Primary tumors show their effects suddenly and without histopathologic evidence of a preexisting lesion. Secondary GBM is the result of pathological evaluation of tumors such as low grade extracts and anaplastic atrocities over the 4-5 years of time. Mainly development of GBM occurs, when the induration of anaplastic matter has been seen along with presence of gray matter, nearby the hemorrhagic necrosis where neoplastic cells are generally located. These areas are denominated as a progression region for anaplastic astryocytoma. The predominant anaplastic form of cells contains elongated nucleus and also have gray matter in the tumor, which diffuses easily through fibrous tracts to the different part of the body. [13]

#### **Treatment Option of Glioblastoma**

# 1. Primary treatment:

Although MRI investigates the proper appearance of GBM, but histological diagnosis is mandatory. GTR (gross tumor resection) is a diagnostic technique, in which contrast enhancing tumor is considered an important prognostic factor for the patients with glioblastoma. The primary goal of surgical treatment is to remove all visible tumors and least remnants should be present. These days, new technique like intraoperative MRI, helps in the complete resection. The microscopic nature of the tumor cells is the main problem of the procedure to achieve successful result of GTR. The microscopic invisible tumor cells sometimes migrate from the tumor boundaries. In this case, surgical GTR is not efficient. [14]

Maximum possibility of safe resection can be achieved along with the temozolomide and radiation therapy, which is significant in the treatment. GTR has a median rate of survival of 6 months, whereas, combined therapy of radiation and resection therapy showed 12.1 months. The best result has come through the combination therapy with resection, radiation and chemotherapy with tolozolomide in which, the median survival rate increased till 14.6 months. [15]

## 2. Radiation and chemotherapy:

Standard care of treatment with radiation therapy is EBRT (external beam radiation therapy), in which, radiation is directed into the body from outside at the cancerous tissues. Characteristic of

EBRT is focal and fractionated. Radiation therapy is usually used alone or in combination with chemotherapy or surgery. Stereotactic radiation therapy and brachytherapy, also used for the treatment of the brain cancer. In this therapy, patients are exposed to 60 Gy IMRT or 3 Dimensional conformal radiation therapy. However, the clinical difference cannot be measured. IMRT are preferred due to ease in planning. <sup>[16]</sup>

**Treatment protocol:** Management's opinion differs for grade II lesions, especially for grade II astrocytoma. Dosage differs from institute to institute, although a standard protocol has been defined. (Please refer appendix 1)

# Resistance to drugs in glioblastoma cells:

Malignant glioblastoma is highly chemo-resistant. So, it has become a great challenge for the oncologist today. Due to the inherent resistance to radio and chemotherapy, median survival is less than 12 months. Even in advancement in treatment option like, surgery, chemotherapy and radiotherapy, a palliation of symptoms has been seen in the case of GBM, which leads to the death of the patients. [17,18] In the last two decades, chemotherapy has become the considerable treatment option of glioblastoma. Some alkalating substances such as carmustine, lomustine, and nimustine have been used since long time. [19, 20] However, the use of temozolomide is more common in the market these days and is considered as the main drug for the treatment of GBM in chemotherapy. [21, 22] TMZ along with surgical resection and radiotherapy shows improved survival and progression free metabolic function in patients with GBM. [21].On the other hand, it provides 12-14 months of survival rate. [21,23] After all types of treatment option in GBM patients, 90% of the chance remains for recurrence of the tumor. [24]

#### **Drug- Resistance mechanism:**

The main reason behind the resistance in GBM includes DNA damage repair, hypoxic areas of tumor cells, cancer stem cells and miRNA. Many of the therapeutic aspects focus on this area of specification for the treatment aspects. [25]

## TMZ (Temozolomide), key role in chemotherapy for GBM patients

TMZ is 3,4-dihydro-3-methyl-4-oxoimidazo[5,1-d]-as-tetrazine-8-carboxamide and acts as an alkylating agent, which is indicated for the treatment of GBM along with the radiotherapy and acts as a maintenance agent. It does not affect directly, but it goes under rapid non-enzymatic

conversion to the 5-(3-methyltriazen-1-yl)-imidazole-4-carboxamide (MTIC) at a particular pH and thus, results in alkylation of the DNA. [26]

Methylation of DNA is the main principal mechanism for the cytotoxicity of TMZ to the malignant tumor cells of the brain. Conversion of the TMZ to the MTIC is initiated by the effect of water on the C4 position of the TMZ. Due to this action, CO<sub>2</sub> is released and converted into the MTIC. <sup>[24, 25]</sup> MTIC further degrades to the methyldiazonium cation, which undergoes the changes by transferring methyl group from the DNA and as a degradation product AIC (5-aminoimidazole-4-carboxamide) is made, which is degraded by kidney. <sup>[27,28]</sup>

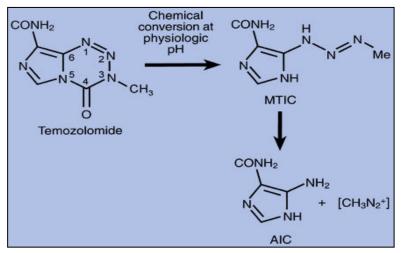


Figure 1: Mechanism of action of TMZ

The most common methylation occurs in the N7-guanine position followed by N3-adenine and O6 guanine. [28, 29]

## **Mechanism of action in TMZ:**

Most explained resistance mechanism to TMZ is regulated by DNA repair protein, also known as MGMT (Methyl Guanine Methyl Transferase) or AGT (O6-alkylguanine DNA alkyltransferase). It acts on the methyl groups from O6-MeG lesions which is the end result of temozolomide treatment. [30]

**O6-alkylguanine DNA alkyltransferase** (**AGT**): There are two basic mechanisms of resistance, involved for alkylating agents and temozolomide: AGT enzyme and the MMR (mismatch repair) pathway. AGT plays a pivotal role in the resistance mechanism to temozolomide by the removal of the alkyl groups from the O<sup>6</sup> position of guanine, which induces the reverse effect of temozolomide. Alkylating agents DTIC ((3,3-dimethyl-1-triazeno)imidazole-4-carboxamide, or dacarbazine), BCNU (bis-chloroethylnitrosourea) or carmustine and temozolomide (TMZ) can be correlated with AGT level in terms of sensitivity caused by the tumor cell lines. Beside this, transfer of retrovirus mediated human AGT gene to the cells which are active in the AGT activities, induce a high level of resistance on temozolomide and other chloroethylating and methylating agents. [30]

Poly(ADP)-ribose polymerase(PARP): Base excision repair pathway is the another possible mechanism of resistance to the TMZ. Human tumor cells when treated with temozolomide, increases the activity of PARP, which is functionally involved in the neucleotide excision repair and inhibition of PARP reports the enhancement of the cytotoxicity of the methylating agents. PARP inhibitors, along with the cell lines were deficit in excision repair or MMR pathway, which was indicated for the role of repair mechanism of N7-methylguanine and O3-methyladenine, which adducts in the resistance for TMZ and other alkylating agents for the antitumor activity. [30]

# **Probable target agents for the GBM**

Due to the highly resistant nature of GBM cells to the cytotoxic chemotherapy and radiation therapy, treatment of GBM became a major concern to overcome. Aberrant signalling pathways play a potential role in targeting tumor cells, as shown in figure 2.<sup>[31]</sup>

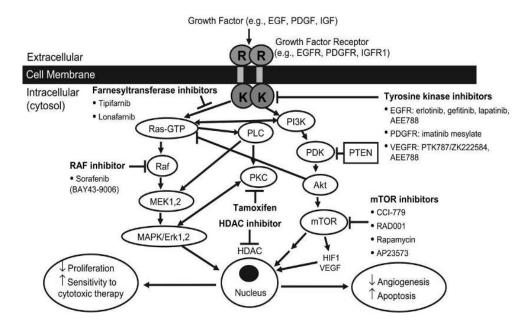


Figure 2: Signalling Pathway

These therapeutics involve three major steps [32]

- Activation of apoptosis.
- Inhibition of growth factor and receptor.
- Blockage of angiogenesis.

David A. Reardon and his associates in 2005, conducted a phase II study for the investigation of the combination therapy of imatinib mesylate and hydroxyurea, which is a tyrosine kinase inhibitor and ribonucleotide reductase inhibitor respectively. For the treatment of patients having recurrent GBM, 500 mg of a combination of imatinib mesylate plus hydroxyurea was administrated twice in a day and imatinib mesylate was given alone to the patients on EIAEDs (enzyme-inducing antiepileptic drugs). The assessment time was every 28 days and the primary end point was 6 months. From the results, it was observed that 27 % of patients who had progression free rate at 6 months, 9 % of patients achieved radiographic response, whereas 42 % stayed at a stable stage of disease. Most common adverse events were neutropenia, thrombocytopenia and edema. Altogether, imatinib mesylate plus hydroxyurea was considered well tolerated in the recurrent GBM. [33]

**Future aspects on therapeutic targets:** 

The main mechanism of cell deaths involved in the TMZ is still little known. Despite of

enhancement in therapy such as of O<sup>6</sup>-methylating agents TMZ, the median survival level is low.

Hence, there is a need to enhance therapy aspects of the GBM patients. Target of achieving a

success rate in the medical industry focuses on the knowledge related to mechanism of cell

death, which is caused due to the action of glioblastoma cells. Recently discovered AMPK (5'

AMP-activated protein kinase) pathway may play a crucial role, [34-36]

**Conclusion:** 

GBM is the most lethal and aggressive form of the brain tumor. It is highly resistant to the

chemo, radio and surgical therapeutic technique. The most common, chemo drug Temozolomide

is considered as best suited therapeutic option, but even it has also less median survival rate. In

patients with GBM, resistance depends on the effect of MGMT (O(6) -methyl-guanine DNA

methyltransferase) and defective MMR pathway. The clinical benefit of patients depends on the

methylation process of MGMT promoters. Enhancement of different molecular mechanism and

research may play role in overcoming the resistance in GBM.

**Abbreviations:** 

GBM: Glioblastoma Multiforme

MGMT: O (6) -methyl-guanine DNA methyltransferase

AGT: O6-alkylguanine DNA alkyltransferase

MMR :mismatch repair pathway

EGFR: Endothelial growth factor receptor

EBRT: external beam radiation therapy

TMZ: Temozolomide

GBM: glioblastoma multiforme

AMPK: 5' AMP-activated protein kinase

EIAED: enzyme-inducing antiepileptic drugs

PARP: Poly(ADP)-ribose polymerase

DTIC: 3,3-dimethyl-1-triazeno)imidazole-4-carboxamide, or dacarbazine

BCNU: bis-chloroethylnitrosourea

MTIC: 5-(3-methyltriazen-1-yl)-imidazole-4-carboxamide

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Appendix 1: Treatment option and dosage in comparsion to grade of GBM tumor [37]

Grade	Characteristics OF	Treatment	Comments	Dosage for radiation
	Tumor	option		therapy
Grade	pilocyticastrocytomas	Surgery and	*In case primary	NA
I		radiation	treatment is not possible	
		therapy*	entirely	
Grade	low-grade infiltrative	Surgery,	1) Low risk or patient	1) low-grade
II	astrocytomas,	radiation therapy	with ≤ 40y- survillance	astrocytomas- 45-54
	oligodendroglioma,	and adjuvant	2) high risk - treated with	Gy, delivered in 1.8
	mixed gliomas	chemotherapy	fractionated external-	to 2.0 Gy fractions
			beam radiation therapy or	2) temozolomide
			adjuvant chemotherapy	150-200 mg/m <sup>2</sup> /day
			3) chemotherapy is used	PO on days 1-5 of
			for low-grade	28-d cycle for 6-8
			oligodendrogliomas,	cycle
			particularly with 1p19q	3) for recurrence or

			deletion, which is a marker for tumor susceptibility to chemotherapy	progressive or untreated tumor- temozolomide 75 mg/m² PO daily on days 1-21 or 150-200 mg/m² PO on days 1- 5 of 28-d cycle until disease progression or for maximum of 24 cycles
Grade III	anaplastic astrocytoma or oligoastrocytoma	surgical resection followed by external-beam radiation therapy and adjuvant chemotherapy	NA	1) Radiation therapy- 60 Gy in 30-35 fractions 2) adjuvant chemotherapy with temozolomide 75 mg/m²/day PO on days 1-42, usually 1- 1.5h before radiation and 3) post radition therapy - continue temozolomide at higher doses of 150- 200 mg/m²/day PO on days 1-5 every 28d
Grade IV	glioblastoma	surgical resection followed by external-beam radiation therapy and adjuvant chemotherapy	NA	1) radiation therapy (60 Gy in 30-35 fractions) adjuvant chemotherapy temozolomide 75 mg/m²/day PO on days 1-42, usually 1-1.5h before radiation 3) post radiation therapy: continue temozolomide at higher doses of 150-200 mg/m²/day PO on days 1-5 every 28d

# Appendix: 02: KARNOFSKY PERFORMANCE STATUS SCALE DEFINITIONS RATING (%) CRITERIA

Status of the patients Definition rating % Criteria
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Able to carry on normal activity and to work; no special care needed.		Normal no complaints; no evidence of disease.
		Able to carry on normal activity; minor signs or symptoms of disease.
	80	Normal activity with effort; some signs or symptoms of disease.
Unable to work; able to live at home and care for most personal needs; varying amount of assistance needed.		Cares for self; unable to carry on normal activity or to do active work.
		Requires occasional assistance, but is able to care for most of his personal needs.
		Requires considerable assistance and frequent medical care.
Unable to care for self; requires equivalent of		Disabled; requires special care and assistance.
		Severely disabled; hospital admission is indicated although death not imminent.
institutional or hospital care; disease may be progressing rapidly.	20	Very sick; hospital admission necessary; active supportive treatment necessary.
		Moribund; fatal processes progressing rapidly.
		Dead

The Karnofsky Performance index classified the patients according to the functional impairment. This is used for the comparison of the effectiveness of various therapies to know the prognosis of the patients. Higher score results for the normal condition whereas, lower for serious. [38]